LEGACY CAPITAL

LEGACY CAPITAL'S

ADVISOR ADVANTAGE

Empowering Advisors to Build Their Legacy

MAKING THE COMPLEX SIMPLE

www.legacycapitalwp.com

WHY ADVISORS CHOOSE LEGACY CAPITAL

Founded by advisors, for advisors, Legacy Capital has spent more than 30 years helping families and entrepreneurs achieve their goals while enabling advisors to build successful, enduring practices. We are a team of professionals—CFPs®, CFAs, CPAs, and JDs—driven by integrity, innovation, and measurable results.

At Legacy Capital, we believe exceptional advisors thrive when they're part of a collaborative, growth-focused environment. The wealth management industry has evolved, and today's clients expect transparency, partnership, and true fiduciary alignment. Legacy Capital empowers advisors to deliver on that promise—combining independence with the strength and credibility of an established, privately owned firm.

OUR VALUES:

Team Culture

We take a **team-oriented approach** to how we work together internally, as well as how we integrate with clients' other professional advisors.

Integrity

Doing what is right. Our good standing and reputation in our respective fields Is guided by our high moral and ethical standards.

Objectivity

Striving to provide advice and counsel without personal bias. We bring clarity to the complex by coordinating client strategies with our internal and external team of specialists.

Innovation

Taking the most creative approach possible. Through our Merchant partnership, we tap strategic opportunities and alternative investment solutions to create value for our clients.

WHAT SETS US APART

Built for fiduciary advisors who value transparency and trust.

Founded by practitioners who understand the industry inside and out.

Values and culture that put clients—and advisors—first.

WHAT YOU GAIN
WHEN YOU JOIN LEGACY CAPITAL

Joining Legacy Capital means becoming part of a firm built for performance, driven by purpose, and grounded in integrity. Here, your success—and your clients' success—always come first. You are supported by a team of like-minded people and a powerful platform and infrastructure… and guided by principles to help make it possible.

As a Legacy Capital advisor, you gain access to a sophisticated platform built to enhance your capabilities—not replace your independence. Our systems and processes are designed to streamline and simplify the complex aspects of servicing high-net-worth clients. This allows you to focus on what matters most: building relationships, delivering results, and growing your business with the confidence that only comes from being on a highly productive team.

Comprehensive Support and Infrastructure that Makes a Difference

Our Platform Includes:

- **Technology That Works for You:** A proprietary Salesforce CRM tailored to the advisor experience—streamlining workflows, deepening client relationships, and providing actionable insights at every stage.
- **Operational Excellence:** A full-service back-office team powered by Envestnet and Black Diamond, delivering seamless billing, reporting, and performance analytics.
- **Investment Solutions:** A dedicated in-house trading desk and access to a wide investment universe—equities, bonds, mutual funds, ETFs, and institutional-quality alternatives.
- **Integrated Insurance Services:** In-house underwriting and advocacy that strengthen wealth management, estate planning, and risk-mitigation strategies.
- **Planning Tools That Deliver Clarity:** Robust financial planning through MoneyGuidePro® and RightCapital—helping you bring strategy and simplicity to every client conversation.
- **Proactive Compliance:** Tech-enabled oversight that minimizes complexity, streamlines reviews, and keeps you ahead of evolving regulations.
- **Strategic Marketing Support:** Partnership with a leading RIA-focused agency to amplify your brand, generate leads, and elevate your visibility.
- **Professional Environment:** Centrally located, elegant offices, and a collaborative team that enhances both your credibility and your client experience.

With Legacy Capital, you can focus on what matters most—your clients—knowing you have the people, tools, and platform to deliver excellence.

LEGACY CAPITAL

FUELING YOUR GROWTH

At Legacy Capital, growth isn't an abstract goal—
it's part of a process that is designed to bring excellence to the client experience.

We combine best-in-class marketing, systems, and strategic partnerships to help advisors build stronger, faster-growing businesses.

How We Help You Grow

- **Firm-Level Marketing:** Awareness campaigns, events, and public relations that expand your visibility.
- **Advisor-Level Customization:** Tailored marketing strategies that reflect your voice, niche, and goals.
- **Automated Lead Engine:** CRM-integrated tools for seamless tracking, nurturing, and conversion.
- **Referral Network:** Strong relationships with CPAs, attorneys, and other centers of influence.

OUR MISSION IS SIMPLE:

empower you with the platform, freedom, and strategic advantages to accelerate growth while staying true to your values.

FLEXIBLE TRANSITIONS & PARTNERSHIP OPTIONS

The next chapter in your career should move you forward—on your terms.

Whether you're looking to accelerate growth, plan for succession, or take chips off the table, Legacy Capital offers flexible, advisor-first solutions. We've walked in your shoes and know how to best support your unique needs.

Options That Fit Your Vision

Equity Opportunities

- Monetize your business by selling an equity stake—taking chips off the table while retaining control.

Succession Planning

- Streamlined internal and external transitions for seamless client continuity, long-term value, and legacy preservation.

Platform for Growth

- Leverage our integrated infrastructure, advanced technology, and experienced leadership to scale efficiently—within a partnership that is built on shared success.

Legacy Capital empowers you to design the future you envision—while ensuring your clients are cared for every step of the way.

LEGACY CAPITAL

JOIN A SUCCESSFUL TEAM THAT SHARES YOUR VALUES

Our values aren't just words—they shape who we are and how we work, innovate, and build lasting success together.

At Legacy Capital, your independence is respected, your integrity is celebrated, and your ambitions are supported by a team that shares your commitment to excellence.

Our Values in Action

- **Trust and Shared Purpose:** We work as a team and act with integrity and transparency in everything we do.
- **Support for Excellence:** We provide the infrastructure that allows you to focus on delivering top-tier solutions and service to your clients.
- **Reputation for Integrity:** We are an established brand known for doing what's right—for clients and advisors alike.

YOUR CLIENTS DESERVE THE BEST. SO DO YOU.

At Legacy Capital, you'll have the tools, team, and platform to serve clients at the highest level while building the legacy you've always envisioned.

Join us.

LET'S START THE CONVERSATION

We invite you to reach out for a confidential discussion about building a better future with Legacy Capital.

BUILD YOUR FUTURE.
PROTECT YOUR LEGACY.
JOIN LEGACY CAPITAL.

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